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(71) Applicant: SPECTRA GROUP LIMITED, INC. [US/US]; Suite H, 1722 Indian Wood Circle, Maumee, OH 43537 (US).			
(72) Inventors: NECKERS, Douglas, C.; Center for Photochemical Sciences, 141 Overman, Bowling Green, OH 43403 (US). BI, Yubai; Spectra Group Limited, Inc., Suite H, 1722 Indian Wood Circle, Maumee, OH 43537 (US).			
(74) Agent: LEVY, Mark, P.; Thompson, Hine and Flory, 2000 Courthouse Plaza N.E., P.O. Box 8801, Dayton, OH 45401- 8801 (US).			

**(54) Title: CATIONIC POLYMERIZATION**

**(57) Abstract**

The invention relates to photohardenable compositions which comprise a cationically polymerizable compound, a xanthene or fluorone dye, a hydrogen donor and an onium salt. These compositions are photohardenable by cationic polymerization and can be used in various photosensitive materials.

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## CATIONIC POLYMERIZATION

Cross-Reference to Related Applications

This application is a continuation-in-part of U.S. Application Serial No. 07/881,048 filed May 11, 1992 and 07/772,103 filed October 7, 1991 which in turn is a continuation-in-part of Serial No. 07/756,611 filed September 9, 1991 which in turn is a continuation-in-part of Serial No. 07/702,886 filed May 20, 1991.

Government Rights

"This invention was made with Government support under Grant N00014-91-J-1921 awarded by the Department of the Navy. The Federal Government has certain rights in the inventions disclosed herein.

Background of the Invention

The present invention relates to novel photosensitive compositions and to photosensitive materials employing them. More particularly, it relates to compositions which are photohardenable by cationic polymerization.

Significant advances in UV curing based on the cationic polymerization of epoxy resins and in photoimaging technology based on photochemically induced acidolysis have been made following the discovery of thermally stable and highly efficient cationic photoinitiators such as diaryliodonium, triarylsulfonium and mixed-ligand arene cyclopentadienyl metal salts. Theoretically, diaryliodonium salts should have the highest efficiency among these initiators owing to their lower C-I bond energy and higher reactivity of dissociated species. However, the major absorption bands of these initiators fall at deep UV wave lengths (210-250nm). The poor overlap of the absorption bands of iodonium salts with the emission spectra of commercially available medium and high-pressure mercury arc lamps has limited their efficiency and made

them yield to thiophenoxy substituted triarylsulfonium salts in many important industrial applications. The latter have an additional absorption band at 300-400 nm and possess much higher efficiency.

Efforts have been made to extend the sensitivity of the initiators to longer wavelengths so that visible light could be used to initiate the cationic polymerization. Attempts have been made to synthesize new photoinitiators with longer wavelength absorption. It has been shown that the introduction of simple substitutes on the aryl rings does not markedly alter the spectral characteristics of iodonium salts. A diaryliodonium salt with a fluorenonyl group substituted on one aryl group has been synthesized. The formed compound has similar absorption and fluorescence spectra as fluorene and is the iodonium salt reported whose absorption wavelength goes to longest region. Despite its longer wavelength absorption, the rates of the polymerization initiated by this compound are not better than those of simple diaryliodonium photoinitiators.

Another technique for extending the photosensitivity of the photoinitiators to longer wavelength region is photosensitization. The photosensitization of iodonium salts by a wide variety of aromatic hydrocarbon, aromatic ketone, heterocyclic compounds and dyes has been studied. To some extent, these sensitizers can extend the sensitivity of iodonium salts to longer wavelengths, but few of them can provide visible light photoinitiation.

The photosensitization process can occur through three mechanisms: (1) energy transfer, (2) electron transfer and (3) free radical decomposition.

According to the energy transfer mechanism, an electronically excited photosensitizer is generated which interacts with the onium salt to promote it to its excited state while returning the photosensitizer to the ground state. Energy transfer photosensitization occurs for iodonium salts using photosensitizer with high triplet

energy (>70kcal/mol) and high oxidation potentials which prevent competing electron transfer processes from taking place.

A triplet energy of 70kcal/mole is equivalent to a photon of 400nm wavelength. The sensitizer must absorb far below 400nm irradiation in order to intersystem-cross to its triplet state, which means the sensitizer must also be a UV absorbing species and the sensitizer can not extend the sensitivity of the UV initiator to visible light region. It has also been confirmed that even if a triplet iodonium salt is formed, the excited molecule is still unreactive toward bond cleavage.

In the electron transfer photosensitization, an electron is transferred from the excited photosensitizer to the onium salt. The result is that a photoredox reaction occurs in which a photosensitizer is oxidized to a cation-radical while the onium salt is reduced to a radical species. In subsequent steps, the diaryliodide radical collapses to iodoaromatic compound and an aryl radical. This mechanism of photosensitization requires that the initiator of cationic polymerization be derived from the photosensitizer, while in the direct photolysis the initiator originates from fragments derived from the photosensitizer. The following compounds have been found to possess a sensitizing effect on iodonium salt initiated cationic polymerization:

<u>Sensitizer</u>	<u>Excitation energy (nm) (T*)</u>
Anthracene	375
Perylene	432
Phenothiazine	500
Xanthone	385
Thioxanthone	432

Thioxanthone (TX) is a typical example of these sensitizers. Substituted thioxanthones exhibit a strong absorption band in the region of 250-270nm as well as a weak band at 380-420nm in the UV-visible region. These

spectral features make them very attractive as photosensitizers. Although strong interactions in the excited states have been observed between TX and diphenyliodonium (DPI) salts, the rates of the cationic polymerizations of difunctional epoxy monomers were little enhanced compared to the same polymerizations carried out in the absence of a photosensitizer.

In photosensitization by free radical induced decomposition, the primary photochemical process occurs on the photosensitizer. Bond dissociation or hydrogen abstraction of the excited photosensitizer produces radicals in solution. Oxidation of these radicals by DPI produces cations which initiate the cationic polymerization. Photosensitization occurs not as a result of a direct interaction between the onium salt and the excited photosensitizer but as a secondary "dark" nonphotochemical reaction of the onium salt with the radical products of the photosensitizer.

Summarizing, although many efforts have been made to develop a visible light cationic initiating system, no satisfactory system has been found. The most significant visible sensitizers developed to date are the five amino substituted dyes reported by Crivello and Lam, "Dye-sensitized Photoinitiated Cationic Polymerization," Journal of Polymer Science, Vol. 16, Polym. Chem. Ed., pp. 2441-2451 (1978).

U.S. Patent No. 4,264,703 assigned to General Electric discloses that polymerization of a variety of polymerizable materials such as vinyl monomers, prepolymers, cyclic ethers, cyclic esters and cyclic organosiloxanes is effected by utilization of an initiator which includes aromatic halonium salt and, more particularly, diaryliodonium salts. These salts are described in greater detail in U.S. Patent No. 4,264,703 and by Crivello and Lam, "Diaryliodonium Salts. A New Class of Photoinitiators for Cationic Polymerization," Macromolecules, Vol. 10 No.

6, pp. 1307-1315 (1977). An alternative class of cationic initiators is triarylsulfonium salts as disclosed in Crivello and Lam, "Photoinitiated Cationic Polymerization with Triarylsulfonium Salts," Journal of Polymer Science, Vol. 17, pp. 977-999 (1979). The cationic polymerizations described in the aforementioned references are initiated by exposure to ultraviolet radiation.

The practical application of the above onium salts as cationic photoinitiators has been limited by their low absorptivity at wavelengths above 300 nanometers. However, it has been discovered that the spectral response of onium salts may be extended into the near ultraviolet and visible wavelengths by energy transfer and electron transfer/redox sensitization. An extensive review of this extension is described in Crivello, Adv. in Polymer Sci., 62, 1 (1984). Crivello reports that through the use of certain photosensitizer-onium salt combinations, however, the process has tended to be extremely inefficient and hence of little practical utility for cationic photopolymerization.

Redox sensitization is known to occur by two distinct mechanisms. The first mechanism is direct electron transfer to release an acidic or cationic species. Examples of this mechanism have been described in U.S. Patent No. 4,026,705 assigned to General Electric and in Crivello and Lam, "Dye-sensitized Photoinitiated Cationic Polymerization," Journal of Polymer Science, Vol. 16, Polym. Chem. Ed., pp. 2441-2451 (1978) and *ibid.* 17, p. 1059 (1979). As disclosed in these references an organic dye sensitizes the decomposition of onium salts, particularly diaryliodonium salts, upon exposure to radiation. Examples of dyes which are capable of photoexcitation as disclosed in the references include acridine and benzoflavin cationic dyes, benzophenone type basic dyes and perylene type neutral dyes. The quantum efficiency of the direct electron transfer is relatively low because there is a competing back electron transfer

mechanism which reduces the amount of cationic species that are formed, and the spectral sensitivity has generally been limited to wavelengths less than 550 nm.

The second mechanism is indirect electron transfer wherein a free radical is photogenerated and subsequently undergoes electron transfer to the onium salt which releases an acid or cationic species. Examples of the indirect electron transfer mechanism are described in A. Ledwith, Polymer, 19, pp. 1217, 1219 (1978); Goethels, ed. "Cationic Polymerization and Related Processes," Academic Press, p. 275 (1984); Crivello and Lee, Macromolecules, 14, 1141 (1981); Yagci et al., Makromol. Chem. Rapid Commun. 8, p. 209 (1987), and Makromol. Chem. Symp. 13 14, p. 161, (1988). Quantum yields greater than unity have been reported for the indirect electron transfer process. The photogenerated radicals from the sensitizer induce chain decomposition of onium salts and generate high yields of cations.

Few references describe or suggest the use of visible light free radical photoinitiators as the radical promoters for onium salt decomposition at wavelengths greater than 500nm. Published European Application EP 0 408 227A1 to The Mead Corporation reports that certain dye-boranyl ion complexes can be combined with onium salts to provide viable cationic polymerization systems, throughout the visible spectrum. None of the references disclose or suggest the use of photoinitiators such as fluorones to extend initiation throughout the visible spectrum.

The above-described cationically polymerized materials have been used as moulding and extrusion resins, adhesives, caulk, coatings, printing inks, impregnated tapes, insulation, sealants, lubricants and the like.

#### Summary of the Invention

As will become apparent from the detailed description hereinbelow, compositions which are photohardenable by

cationic polymerization have been developed which include a cationically polymerizable compound, a xanthene or fluorone dye, a hydrogen donor and an iodonium, thiapyrylium, diazonium or ferrocenium salt. The resultant composition can be polymerized by cationic initiation upon exposure to radiation and particularly radiation extending into the visible spectrum. The photopolymerization follows a cationic mechanism, though radical species are also involved as intermediates. The detailed mechanism for the process is not clear. While not wishing to be bound by any specific theory, it is hypothesized that upon exposure of the xanthene or fluorone dye with visible light, radicals are formed by the interaction of the excited dye with either the amine (reductive bleaching) or the diaryliodonium salts (oxidative bleaching). These free radicals then transfer an electron to the onium salt and release a cation. Once such a cation is released, it attacks the monomer and initiates the polymer chain reaction. In the most common free radical reaction, the dye is an electron acceptor. In the presence of an onium salt and an amine, the dye is an electron acceptor with respect to the amine and an electron donor with respect to the onium salt. The rate of electron transfer of the dye to the onium salt is an order of magnitude faster than the rate of transfer from the amine to the dye.

In this system, an electron is transferred from the excited xanthene or fluorone dye to onium salts. Similar to the electron transfer photosensitization, a photoredox reaction occurs in which a photosensitizer is oxidized to a cation-radical while the onium salt is reduced to a radical species, e.g., a diaryliodide radical which collapses to iodoaromatic compound and an aryl radical. Different from the above process, the formed dye radicals will not initiate cationic polymerization (no positive charge), instead, the dye radicals and phenyl radicals may abstract hydrogen from the hydrogen donor (e.g., an aromatic amine

with a hydrogen) to form secondary radicals ( $\alpha$ -aminoalkyl radicals). These secondary radicals may be oxidized by the onium cation to form radical cations which initiate the cationic polymerization. This is similar to photosensitization by free radical induced decomposition. Owing to the regeneration of radicals in the last step, a chain reaction may result.

A number of factors will affect the efficiency and success of the cationic system of the invention including the free energy of the electron transfer process, the efficiency of the oxidation of the radicals to cations, the electrophilicity of the cation, and the nucleophilicity of the hydrogen donor. The electrophilicity of the cation and the nucleophilicity of the hydrogen donor must be such that the cation is not trapped in an acid-base reaction. Free energy ( $\Delta G$ ) may be estimated from the oxidation ( $E_{ox}$ ) and excitation ( $E_p$ ) energies of the photosensitizer and the reductive energy of the coinitiator ( $E_{red}$ ).

$$\Delta G = E_{ox} - E_p - E_{red}$$

The more negative  $\Delta G$  for the reaction, the more likely it is that the reaction will exhibit relatively larger quantum yields of photolysis and a high relative polymerization rate.

According to the present invention, a photohardenable composition is provided comprising: a cationically polymerizable compound, a xanthene or fluorone dye, a hydrogen donor and an onium salt capable of initiating cationic polymerization of said polymerizable compound upon exposure to actinic radiation. Preferred onium salts are iodonium, thiapyrylium, diazonium and ferrocenium salts. It is particularly preferred that the onium salt be an iodonium salt and most preferably a diaryliodonium salt. The cationically polymerizable compound may be an epoxy compound, a vinyl monomer, a vinyl prepolymer, a vinyl ether, a vinyl ether prepolymer, a cyclic ether, a cyclic

ester, a cyclic sulfide, cyclic organosiloxanes, a cyclic acetal, and the like.

In a particular embodiment, a dual initiator system is possible which utilizes both cationic and free radical initiation mechanisms. Use of a dual initiator system enables rapid cure of stable monomeric materials and provides a wide latitude in the selection of polymer structure and properties.

Some of the applications in which compositions in accordance with the invention can be used are, for example, inks, adhesives, protective, decorative and insulating coatings, glass lamination, magnetic recording compositions, potting compounds, sealants, photoresists, wire insulation, can linings, textile coatings, laminates, impregnated tapes, printing plates, imaging materials and the like. In addition to being useful in photosensitive materials, the compositions of the present invention are also useful in a wide variety of other applications including photocurable inks and coatings, photoadhesives, printing plates, printed circuit fabrication, and other applications for photohardenable compositions.

#### Detailed Description of the Invention

A wide range of xanthene or fluorone dyes may be used as photoinitiators in accordance with the invention. Some examples include Methylene Blue, rhodamine B, Rose Bengal, 3-hydroxy-2,4,5,7-tetraiodo-6-fluorone, 5,7-diiodo-3-butoxy-6-fluorone, erythrosin B, Eosin B, ethyl erythrosin, Acridine Orange, 6'-acetyl-4,5,6,7-tetrachloro-2',4',5',6',7'-tetraiodofluorescein (RBAX), and the compounds of formulas (I)-(IV) below. Because these dyes exhibit different absorption bands and extinction coefficients, it is difficult to compare their efficiency. However, the quantum yield of triplet formation, the oxidation potentials and the conversion of cyclohexene

oxide monomer to polymer of several dyes is provided in Table 1.

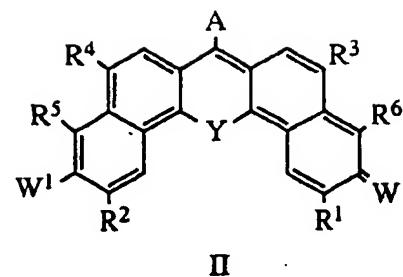
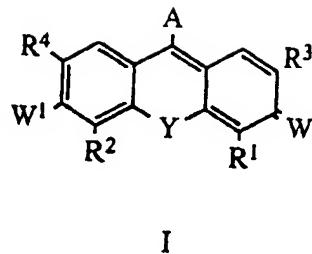
Table 1

Photopolymerization of cyclohexene oxide(bulk)  
at 21°C\* with different photosensitzers

Dye	$\lambda$ (nm)	Charge	$\phi T$	$E_{ox}$	Conversion (%) real corrected
Rose Bengal	548	-2	0.76	-1.0	20.2 13.3
Eosin	514	-2	0.28	-1.0	7.1 7.1
Erythrosin	525	-2	0.62	-1.0	21.8 34.6
RBAX	492	0	<0.87	-1.0	17.7 20.8
TIHF	538	-1	<0.87	1.34	12.5 11.3
Methylene Blue	656	+1	0.58	-	4.6 0.9
Rhodamine B	556	+1	<0.01	-	9.5 5.0

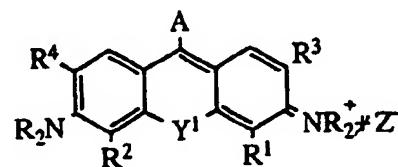
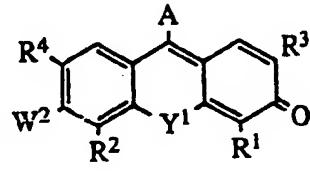
\* Dye Concentration:  $2 \times 10^{-3}$  M;  
 4,4'Dimethyldiphenyliodonium tetrafluoroborate:  
 $5 \times 10^{-2}$  M;  
 $N,N$ -Dimethylaniline:  $1.0 \times 10^{-2}$  M  
 Irradiation time: 4 hours

The dyes useful herein include compounds of the formulas (I) and (II) and their equivalents (the nomenclature of the compounds used herein is based on the numbering of positions as shown in formula (I)):



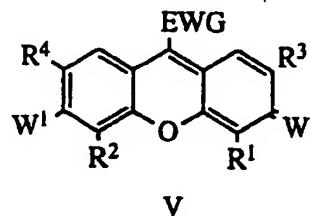
where  $R^1$ ,  $R^2$ ,  $R^5$  and  $R^6$  are the same or different and represent a hydrogen atom or a halogen atom and  $R^1$  and  $R^2$  may combine to form a ring;  $R^3$  and  $R^4$  are the same or different and represent a hydrogen atom, a halogen atom, a benzoyl group, a group of the formula  $-L(CH_2)_nR^8$  where  $n$  is 1 to 8,  $R^8$  is hydrogen, hydroxy, amino, dialkylamino,  $-COR^{16}$  or  $-COOR^{14}$  where  $R^{16}$  is hydrogen, chlorine,  $COCl$ , C1-C8 alkyl,  $NR_2$ , or aryl, and  $R^{14}$  is hydrogen, C1-C8 alkyl, aryl,  $COR$ , or  $NR_2$ ; and  $L$  is a direct bond or  $>C=O$ ;  $W$  is  $=O$  or  $NR^9$ , where  $R$  is hydrogen or lower alkyl, where  $W$  is  $+O$ ,  $W^1$  is hydrogen or  $-OR^9$  where  $R^9$  is hydrogen, C1-C8 alkyl, acyl or a group of the formula  $-(CH_2)_nR^{10}$  where  $n$  is 1 to 8 and  $R^{10}$  is amino, dialkylamino, hydroxy, acryloyl or methacryloyl and when  $W$  is  $=NR_2^+$ ,  $W^1$  is hydrogen or  $-NR^2$ ;  $Y$  is oxygen, sulfur, selenium, tellurium,  $>C=O$ , or  $N-R^{13}$  where  $R^{13}$  is 4-methylphenyl,  $A$  is hydrogen, aryl, alkenyl, alkyl, or an electron withdrawing group (EWG); provided that at least one of  $R^1$  -  $R^6$  is halogen.

In accordance with some of the preferred embodiments of the invention, the compounds of the invention more particularly include fluorone and pyronin Y derivatives of the formulae (III) and (IV):



where A is selected from the group consisting of hydrogen, aryl, alkyl, alkenyl, alkynyl, dichlorotriazinylamino, and electron withdrawing groups (EWG) which are stronger electron withdrawing groups than a phenyl group or a halogen-substituted or a carboxyl-substituted phenyl group; Y<sup>1</sup> is oxygen, sulfur or >NR<sup>13</sup> where R<sup>13</sup> is 4-methylphenyl; R, and R<sup>1</sup> - R<sup>4</sup> are defined as above; Z is a counter ion and W<sup>2</sup> is hydrogen or -OR<sup>9</sup> and R<sup>9</sup> is defined as above.

The compounds of the invention can be more particularly represented by the formula (V) :



where R<sup>1</sup>-R<sup>4</sup> may be the same or different and are defined as above; EWG is an electron withdrawing group selected from the group consisting of COOR<sup>11</sup>, COR<sup>12</sup>, C(O)OCOR<sup>11</sup>, CONR<sub>2</sub>, CN, NO<sub>2</sub>, NCS, NCO, SO<sub>2</sub>R<sup>12</sup>, SO<sub>3</sub>R<sup>11</sup>, SO<sub>2</sub>NR<sub>2</sub>, CX<sub>2</sub>; R<sup>11</sup> is hydrogen, alkyl, aryl, or aralkyl; R<sup>12</sup> is hydrogen, alkyl, aryl, or aralkyl, X<sup>2</sup> can be the same or different and is a halogen atom; and W and W' are selected from the group consisting respectively of =O and O- and =NR<sub>2</sub><sup>+</sup> and -NR<sub>2</sub> where R is defined as above.

In the photoinitiators of the invention preferably at least two of R<sup>1</sup> - R<sup>6</sup> is a halogen atom and more particularly an iodine or bromine atom. A is hydrogen or cyano in a particularly preferred class of photoinitiators. For use in photoinitiation W<sup>1</sup> is preferably butoxy or octyloxy. When R<sup>10</sup> is an acryloyl or methacryloyl group, a polymerizable initiator is provided.

Respective examples of alkyl groups referenced with respect to the compounds of formula (I) - (VI) above are straight chain, branched chain and cyclic alkyl groups having 1 to 10 or more carbon atoms.

Representative examples of aryl groups include phenyl groups which may be unsubstituted or substituted by alkyl, chloro,  $(CH_2)_p COOR^{11}$ ,  $(CH_2)_p X^2$ ,  $(CH_2)_p NR_2$ , COONa where R,  $R^{11}$  and  $X^2$  are defined as above and p is 0 to 6. Specific examples of aryl groups include phenyl; 2,3,4,5-tetrachlorophenyl, 2-carboxyphenyl sodium salt; 2,3,4,5-tetrachloro-6-carboxyphenyl sodium salt; and 2-carboxyphenyl

Representative examples of aralkyl groups include aralkyl groups containing 7 to 20 carbon atoms such as benzyl, phenethyl, etc.

Representative examples of alkaryl groups include alkaryl groups containing 7 to 20 carbon atoms such as phenyl substituted at the ortho or para position by a straight chain or branched chain alkyl group having 1 to 6 carbon atoms.

Representative examples of alkenyl groups include alkenyl groups having 2 to 10 carbon atoms such as vinyl, allyl, 1-propenyl, 1-butenyl and 1,3-butadienyl.

Representative examples of alkynyl groups include alkynyl groups having up to 10 carbon atoms such as 1-propynyl, 1-butynyl, etc.

Representative examples of acyl groups are groups of the formula  $-OCR^{17}$  where  $R^{17}$  is an alkyl or an aryl group such as methyl and phenyl.

Representative examples of the halogen atoms include fluorine, chlorine, bromine and iodine and, more particularly, bromine and iodine.

Representative examples of the counter ion represented by Z include chloride, bromide, iodide, perchlorate when Z is an anion and monovalent ions such

as K<sup>+</sup>, Na<sup>+</sup>, ammonium, phosphonium, etc. when Z is a cation. When W/W' is O/O<sup>-</sup>, Z may be hydrogen.

Representative examples of electron withdrawing groups include COOR<sup>11</sup>, COR<sup>12</sup>, C(0)OCOR<sup>11</sup>, CONR<sub>2</sub>, CN, NO<sub>2</sub>, NCS, NCO, SO<sub>2</sub>R<sup>12</sup>, SO<sub>3</sub>R<sup>11</sup>, SO<sub>2</sub>NR<sub>2</sub>, CX<sup>32</sup> where X<sub>2</sub>, R<sup>11</sup> and R<sup>12</sup> are defined below.

Representative examples of photoinitiators in accordance with the invention include:

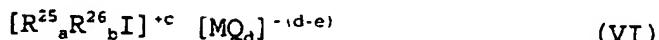
1. 5,7-diido-3-methoxy-6-fluorone (DIMF)
2. 5,7-diido-3-ethoxy-6-fluorone (DIEF)
3. 5,7-diido-3-butoxy-6-fluorone (DIBF)
4. 5,7-diido-3-octoxy-6-fluorone (DIOF)
- 4a. 4,5-diido-3-hydroxy-6-fluorone
5. 9-cyano-5,7-diido-3-methoxy-6-fluorone (CDIMF)
6. 9-cyano-5,7-diido-3-ethoxy-6-fluorone (CDIEF)
7. 9-cyano-5,7-diido-3-butoxy-6-fluorone (CDIBF)
8. 9-cyano-5,7-diido-3-octoxy-6-fluorone (CDIOF)
9. 3-hydroxy-2,4,5,7-tetraiodo-6-fluorone (TIHF)
10. 3-hydroxy-2,4,5,7-tetrabromo-6-fluorone (TBHF)
11. 3-hydroxy-2,4,5,7-tetrachloro-6-fluorone (TCHF)
12. 3-hydroxy-2,4,5,7-tetrafluoro-6-fluorone (TFHF)
13. 9-cyano-3-hydroxy-2,4,5,7-tetraiodo-6-fluorone (TIHCF)
14. 9-cyano-3-hydroxy-2,4,5,7-tetrabromo-6-fluorone (TBHCF)
15. 9-cyano-3-hydroxy-2,4,5,7-tetrachloro-6-fluorone (THCF)
16. 9-cyano-3-hydroxy-2,4,5,7-tetrafluoro-6-fluorone (TFHCF)
17. 3-hydroxy-4,5,7-triido-2-octanoyl-6-fluorone
18. 9-cyano-3-hydroxy-4,5,7-triido-2-octanoyl-6-fluorone
19. 3-hydroxy-4,5,7-triido-2-octanoyl-6-fluorone
20. 9-cyano-3-hydroxy-4,5,7-triido-2-octyl-6-fluorone
21. 3-hydroxy-2,4,5,7-tetraiodo-6-thiafluorone

22. 3-hydroxy-4,5,7-triiodo-2-pentanoyl-6-fluorone
23. 9-cyano-3-hydroxy-4,5,7-triiodo-2-pentanoyl-6-fluorone
24. 3-hydroxy-4,5,7-triiodo-2-pentyl-6-fluorone
25. 9-cyano-3-hydroxy-4,5,7-triiodo-2-pentyl-6-fluorone
26. 2,7-di-t-butyl-4,5-diiodo-3-hydroxy-6-fluorone
27. 9-cyano-2,7-di-t-butyl-4,5-diiodo-3-hydroxy-6-fluorone
28. 7-benzoyl-2,4,5-triiodo-3-hydroxy-6-fluorone

Potentially useful onium salts include iodonium salts; thiapyrylium salts; diazonium salts; and ferrocenium salts and, more particularly, diaryliodonium hexafluorophosphates, diaryliodonium arsenates and diaryliodonium antimonates; and phenyldiazonium salts such as  $\text{ArN}_2^+ \text{BF}_4^-$ .

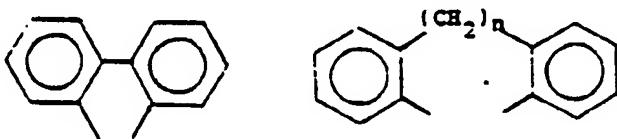
The counter ion of the onium salts, usually a nonnucleophilic semimetal complex, plays a decisive role in determining the rate of polymerization. In the case of the  $\text{SbF}_6^-$  the rate of polymerization is so fast that it is difficult to measure, while for the  $\text{BF}_4^-$  salt it was considerably slower. The general order of reactivity was found to be  $\text{SbF}_6^- > \text{AsF}_6^- > \text{PF}_6^- > \text{BF}_4^-$ . One can also use chlorides, bromides, iodides, sulfates, etc. Since the photolysis of diaryliodonium salts with common cations but different anions disclosed that the rates of photodecomposition of the salts are independent of the cationic portion of the salts<sup>19</sup>, the observed polymerization rates must then be dependent only on the nature of the anion. This effect has been explained on the basis of the degree of separation in the ion pair. The larger the negatively charged ion is, the more loosely it is bound and the more active the propagating cationic species is in the polymerization.

Where the onium salt is an iodonium salt it can be represented by the formula:



where  $R^{25}$  is a monovalent aromatic organic radical;  $R^{26}$  is a divalent organic radical, M is a metal, such as antimony, iron, tin, bismuth, aluminum, gallium, indium, titanium, zirconium, scandium, vanadium, chromium, manganese, cerium, or rare earth elements, such as lanthanides and actinides, or a metalloid, such as carbon, nitrogen, phosphorus, boron, or arsenic, and Q is a halogen, alkyl, aryl, or alkyl, or equivalent radical, a and b are whole numbers equal to 0, 1 or 2, c is equal to (d-e), e is equal to the value of M and in an integer equal to 2 to 7 inclusive, and d is an integer of exp to 8.

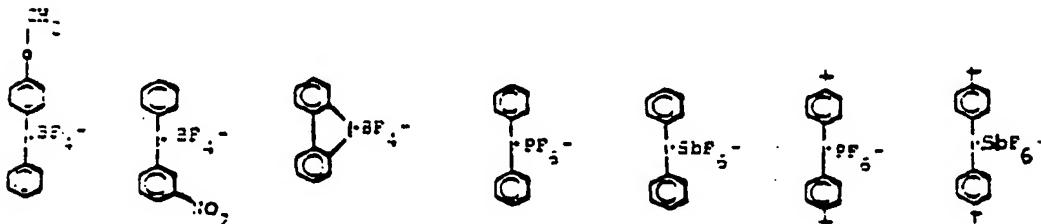
Radicals included by  $R^{25}$  can be the same or different aromatic, carbocyclic or heterocyclic radicals having from 6 to 20 carbon atoms, which can be substituted on the ring with from 1 to 4 monovalent radicals selected from  $C_{(1-18)}$ , alkoxy,  $C_{(1-18)}$ , alkyl, nitro, chloro, etc.,  $R^{25}$  is more particularly phenyl, chlorophenyl, nitrophenyl, methoxyphenyl, pyridyl, etc. Radicals included by  $R^{26}$  are divalent radicals such as



where n is 1-2, etc. Metal or metalloids included by M of formula (VI) are transition metals such as Sb, Fe, Sn, Bi, Al, Ga, In, Ti, Zr, Sc, V, Cr, Mn, Cs, rare earth elements such as the lanthanides, for example, Cd, Pr, Nd, etc., actinides, such as Th, Pa, U, Np,

etc. and metalloids such as B, P, As, etc. Complex anions included by  $MQ_d^{(d-e)}$  are, for example,  $BF_4^-$ ,  $PF_6^-$ ,  $AsF_6^-$ ,  $SbF_6^-$ ,  $FeCl_4^-$ ,  $SnCl_6^-$ ,  $SbCl_6^-$ ,  $BiCl_3^-$ , etc. Simple anions such as  $Cl^-$ ,  $Br^-$ ,  $I^-$ ,  $SO_4^{2-}$ , etc. are also helpful.

Halonium salts (especially iodonium salts) included by formula (VI) are, for example,



The halonium salts of formula (VI) can be made by the procedures described by O. A. Ptitsyna, M.E. Pudecva, et al., Dokl, Adad Nauk, SSSR, 163, 383 (1965); Dokl, Chem., 163, 671 (1965). F. M. Beringer, M. Drexler, E. M. Gindler, J. Am. Chem. Soc., 75, 2705 (1953). J. Collette, D. McGreer, R. Crawford, et al., J. Am. Chem. Soc. 78, 3819 (1956). Other aryliodonium salts include the polymeric iodonium salts which are described in U.S. Patent No. 4,780,511 and are hereby incorporated by reference.

Representative examples of iodonium salts of formula (VI) include salts having the following structures:  $C_nH_{2n+1}$ ,  $C_6H_4I^+(C_6H_5)$ ,  $(C_nH_{2n+1}C_6H_4)_2I^+$ ,  $(C_nH_{2n+1}OC_6H_4)I^+(C_6H_5)$  and  $(C_nH_{2n+1}OC_6H_4)_2I^+$  where  $n=8$  to 12.

Particularly preferred iodonium salts are the aryliodonium salts, and most preferably, the diaryliodonium salts such as 4,4'-dimethyldiphenyliodonium tetrafluoroborate and (4-octyloxyphenyl) phenyliodonium hexafluoroantimonate (OPPI).

Coinitiators useful in the present invention can be selected from among those known in the art and, more particularly, from known hydrogen donating coininitiators. Non-nucleophilic amines such as aromatic amines of low basicity are particularly useful in the invention.

The relative efficiency of the coinitiator in cationic polymerization not only depends on the efficiency of radical generation, but also on the efficiency of the oxidation of the radicals to cations as well as on the efficiency of the cation to initiate the cationic polymerization. The coinitiator must have a low basicity and low nucleophilicity as well as certain other properties not required in free radical initiated polymerization. If the coinitiator is too basic, it will deactivate the cationic center responsible for initiation. Only aromatic amines with  $\alpha$  hydrogens are capable of initiating ring opening polymerization of cyclohexene oxide. Aliphatic amines, aromatic amines without  $\alpha$  hydrogens and non-amine hydrogen donors are incapable of the initiation with cyclohexene oxide.

Representative examples of N,N-dialkylanilines useful in the present invention are 4-cyano-N,N-dimethylaniline, 4-acetyl-N,N-dimethylaniline, 4-bromo-N,N-dimethylaniline, 4-methyl-N,N-dimethylaniline, 4-ethoxy-N,N-dimethylaniline, N,N-dimethylthioanisidine, 4-amino-N,N-dimethylaniline, 3-hydroxy-N,N-dimethylaniline, N,N,N',N'-tetramethyl-1,4-dianiline, 4-acetamido-N,N-dimethylaniline, 2,6-diethyl-N,N-dimethylaniline, N,N,2,4,6-pentamethylaniline (PMA) p-t-butyl-N,N-dimethylaniline and N,N-dimethyl-2,6-diisopropyl aniline. Also useful as coinitiators are N-phenylglycine and N,N-dimethyltoluidine.

The invention is not limited to the use of amines or aromatic amines as hydrogen donors. Other compounds

present in the composition may be capable of functioning as a hydrogen donor. For example, many monomers are capable of acting as hydrogen donors and compositions containing these compounds may be used effectively with or without amines. A specific example of such monomer are certain cycloaliphatic epoxides.

There are hundreds of monomers which can be polymerized by means of a cationic mechanism. These monomers can be classified according to their functionality. They include cyclic ethers, cyclic formals and acetals, vinyl ethers, and epoxy compounds. These monomers can be made monofunctional, difunctional and multifunctional. They may also be large molecular weight prepolymers and oligomers. Examples of cationically polymerizable compounds include epoxy compounds, vinyl or allyl monomers, vinyl or allylic prepolymers, vinyl ethers, vinyl ether functional prepolymers, cyclic ethers, cyclic esters, cyclic sulfides, melamine formaldehyde, phenolic formaldehyde, cyclic organosiloxanes, lactans and lactones, cyclic acetals and epoxy functional silicone oligomers.

Epoxy monomers are the most important class of polymerizable substrates. These materials are readily available as commodity items, and the resulting cured polymers possess excellent dimensional and thermal stability as well as superior mechanical strength and chemical resistance. They are widely used in the coating, painting and adhesives industry. The relationship between structure and reactivity in epoxy monomers is a complex function of several factors. The reactivity of an epoxy monomer is not only determined by the electronic nature of its particular epoxy group, its steric accessibility and the initiating species used but also by properties like the basicity, the nucleophilicity of other functional groups which coexist in the monomer. In general, epoxidized

diolefins are most reactive, followed by epoxidized diolefins containing acetal and ester linkage followed finally by diglycidyl ethers.

Examples of cationically polymerizable epoxy compounds described in the literature include any monomeric, dimeric or oligomeric or polymeric epoxy material containing one or a plurality of epoxy functional groups. Examples of polymerizable epoxy compounds include bisphenol-A-diglycidyl ether, trimethylene oxide, 1,3-dioxolane, 3,4-epoxycyclohexylmethyl-3',4'-epoxycyclohexyl carboxylate, phenyl glycidyl ether, 4-vinylcyclohexene dioxide, limonene dioxide, cycloaliphatic epoxides such as 1,2-cyclohexene oxide, epichlorohydrin, glycidyl acrylate, glycidyl methacrylate, styrene oxide, allyl glycidyl ether, etc. Resins which result from the reaction of bisphenol A (4,4-isopropylidenediphenol) and epichlorohydrin, or from the reaction of low molecular weight phenol-formaldehyde resins (Novolak resins) with epichlorohydrin have been used alone or in combination with an epoxy containing compound.

In addition, polymerizable epoxy compounds include polymeric materials containing terminal or pendant epoxy groups. Examples of these compounds are vinyl copolymers containing glycidyl acrylate or methacrylate as one of the comonomers. Other classes of epoxy containing polymers amenable to cure have also been described in the literature and include epoxy-siloxane resins, epoxy-polyurethanes and epoxy-polyesters. Such polymers usually have epoxy functional groups at the ends of their chains. Epoxy-siloxane resins and the method for making them are more particularly shown by E.P. Plueddemann and G. Ganger, J. Am. Chem. Soc. 81 632-5 (1959), and in Crivello et al., Proceeding ACS, PMSE, 60, 217 (1989).

As described in the literature, epoxy resins can also be modified in a number of standard ways such as reactions with amines, carboxylic acids, thiols, phenols, alcohols, etc. as shown in U.S. Patent Nos. 2,935,488; 3,235,620; 3,369,055; 3,379,653; 3,398,211; 3,403,199; 3,563,850; 3,567,797; 3,677,995, etc. Further examples of epoxy resins are shown in the Encyclopedia of Polymer Science and Technology, Vol. 6, 1967, Interscience Publishers, New York, pp. 209-271.

Examples of vinyl or allyl organic monomers which have been used in the literature in the practice of the cationic polymerization include, for example, styrene, vinyl acetamide, methyl styrene, isobutyl vinyl ether, n-octyl vinyl ether, acrolein, 1,1-diphenylethylene. R-pinene; vinyl arenes such as 4-vinyl biphenyl, 1-vinyl pyrene, 2-vinyl fluorene, acenaphthylene, 1 and 2-vinyl naphthylene; 9-vinyl carbazole, vinyl pyrrolidone, 3-methyl-1-butene; vinyl cycloaliphatics such as vinylcyclohexane, vinylcyclopropane, 1-phenyvinylcyclopropane; dienes such as isobutylene, isoprene, butadiene, 1,4-pentadiene, 2-chloroethyl vinyl ether, etc.

Some of the vinyl organic prepolymers which have been described are, for example,  $\text{CH}_2 = \text{CH}-\text{O}- (\text{CH}_2\text{O})_n-\text{CH}=\text{CH}_2$ , where n is a positive integer having a value up to about 1000 or higher; multi-functional vinyl ethers, such as 1,2,3-propane trivinyl ether, trimethylolpropane trivinyl ether, polyethyleneglycol divinyl ether (PEGDVE), triethyleneglycol divinyl ether (TEGDVE), vinyl ether-polyurethane, vinyl ether-epoxy, vinyl ether-polyester, vinyl ether-polyether and other vinyl ether prepolymers such as 1,4-cyclohexane dimethanol-divinyl ether, commercially available from GAF and others, and low molecular weight polybutadiene having a viscosity of from 200 to 10,000 centipoises at 25°C, etc.

A further category of cationically polymerizable materials are cyclic ethers which are convertible to thermoplastics. Included by such cyclic ethers are, for example, oxetanes such as 3,3-bis-chloromethyloxetane alkoxyoxetanes as shown by U.S. Pat. No. 3,673,216; oxolanes such as tetrahydrofuran, oxepanes, oxygen containing spiro compounds, trioxane, dioxolane, etc.

In addition to cyclic ethers, there are also included cyclic esters such as lactones, for example, propiolactone, cyclic amines, such as 1,3,3-trimethylazetidine and cyclic organosiloxanes, for example. Examples of cyclic organosiloxanes include hexamethyl trisiloxane, octamethyl tetrasiloxane, etc. Cyclic acetals may also be used as the cationic polymerizable material. Examples of epoxy functional silicone oligomers are commercially available from General Electric and are described in ACS PMSE Proceeding 1989, Vol. 60, pp. 217, 222.

Because the photoinitiator generates both free radicals and cations, it is possible to utilize a combination of free radical polymerizable and cationic polymerizable monomers. Examples of free radical polymerizable monomers include both monomers having one or more ethylenically unsaturated groups, such as vinyl or allyl groups, and polymers having terminal or pendant ethylenic unsaturation. Such compounds are well known in the art and include acrylic and methacrylic esters of polyhydric alcohols such as trimethylolpropane, pentaerythritol and the like, and acrylate or methacrylate terminated epoxy resins, acrylate or methacrylate terminated polyesters, etc. Representative examples include ethylene glycol diacrylate, ethylene glycol dimethacrylate, trimethylolpropane triacrylate (TMPTA), pentaerythritol tetraacrylate, pentaerythritol tetramethacrylate,

dipentaerythritol hydroxypentacrylate (DPHPA), hexanediol-1, 6-dimethacrylate, and diethyleneglycol dimethacrylate. For example, it is contemplated that a mixture of styrene or an acrylate and a vinyl ether or epoxy could be used as a starting material.

Examples of other materials which are both cationically and free radically cured include glycidyl methacrylates, epoxy acrylates, acrylated melamine formaldehyde and epoxidized siloxanes. The simultaneous utilization of a cationically and free radical curable system enables rapid curing to be accomplished and provides a wide latitude in the design of product performance. For example, when a solution of acrylate and epoxy acrylate is used as the dual curable composition, film properties ranging from flexible to rigid can be produced and desired adhesive characteristics can be produced by selection of designed ratios of functional groups. The epoxy functionality provides high temperature resistance, excellent adhesion and reduced oxygen sensitivity whereas the acrylate functionality provides rapid curing speed, excellent weatherability, flexibility and desirable viscosity. Other examples of dual curable systems will be envisioned and appreciated by those skilled in the art.

Solvents may be necessary to dissolve the photoinitiator if the photoinitiator is not sufficiently soluble in the monomer. Solvents may also be used to shift the absorption spectrum to tune the sensitivity of the composition. Some examples of useful solvents are N-vinylpyrrolidone and nitrobenzene. Other useful solvents can be identified readily.

The nature of the monomer or polymerizable material, the amount of the xanthene or fluorone dye and onium salt in photohardenable compositions in

accordance with the present invention will vary with the particular use of the compositions, the emission characteristics of the exposure sources, the development procedures, the physical properties desired in the polymerized product and other factors. With this understanding, compositions in accordance with the invention will generally fall within the following compositional ranges in parts by weight (based on 100 parts total).

Polymerizable compound	50 to 99.7
Xanthene or fluorone dye	.05 to 1.0
Onium Salt	up to 5.0
amine	0 to 1.0

Compositions in accordance with the invention more typically are anticipated to have the following formulation:

Polymerizable compound	50 to 97.5
Xanthene or fluorone dye	.05 to 0.5
Onium Salt	1.0 to 3.0
Amine	0 to 0.5

The photohardenable compositions of the present invention can be coated upon a support in a conventional manner and used in making a photoresist or in photolithography to form an oleophilic polymer image. Development of photohardenable compositions in accordance with the invention is conducted in an otherwise known or conventional manner, e.g., a solvent for the unpolymerized monomer may be used to remove the photohardenable composition in the unexposed areas.

The photohardenable composition of the invention may also be advantageous for use in the three dimensional modeling process taught in U.S. Pat.

4,575,330 to Hull. Due to the thicker depth of cure that is possible, models may be prepared in larger cross-sectional increments. This should reduce the total time required for the model building process. Another advantage which the claimed compounds bring to three dimensional modeling is higher green strength.

Generally, fluorones in accordance with the invention absorb at about 350 to 670 nm. It is anticipated that sensitivity can be extended to longer wavelengths by substituting electron donating groups for A. Depending upon the extinction coefficient, compositions and photosensitive materials in accordance with the invention can be exposed to any source which emits in this range and particularly an He/Ne laser, a mercury arc lamp, any of the fusion system 'doped' lamps, a tungsten halogen lamp or other visible light sources.

The syntheses of fluorones in accordance with the present invention are illustrated in United States Patent Application 07/772,103 and European Patent Application No.

0 515 133 A2.

#### EXAMPLES

##### EXAMPLE 1

A cyclohexene oxide solution containing  $2 \times 10^{-3}$  M ethyl erythrosine,  $5 \times 10^{-2}$  M diphenyliodonium hexafluoroantimonate,  $5 \times 10^{-2}$  M N,N,2,4,6-pentamethylaniline in a test tube was irradiated with visible light (>520 nm) from a tungsten lamp in a merry-go-round holder which was totally immersed in a cooling water bath tub. The solution completely cured after irradiation for 10 minutes.

EXAMPLE 2

Photocurable compositions were prepared using 75 parts cycloaliphatic diepoxide and 25 parts polyol with the results indicated below:

A. Using a 75 watt dental lamp at a distance of 1 inch (approx. 400 mw/cm<sup>2</sup>), a 0.4% DIEF, 2% DPI sample was completely cured in a 10 mil thick film with a tack-free surface after a 60 second exposure to the same light source, but a 2% DPI control did not cure at all.

B. Using a 75 watt dental lamp w/blue filter at a distance of 1 inch (approx. 50 mw/cm<sup>2</sup>), 0.4% DIEF, 2% DPI sample was completely cured in a 10 mil thick film with a tack-free surface after a 60 second exposure. This time neither the 2% triaryl sulfonium control nor the 2% DPI control cured at all after a 60 second exposure to the dental lamp.

C. A 0.4% DIEF, 2% DPI sample was irradiated in the focal point of a 360 watt overhead projector (300 mw/cm<sup>2</sup>) for 60 seconds and was completely cured in a 10 mil thick film and had a tack-free surface. The control samples (2% triaryl sulfonium and 2% DPI) did not cure after 60 seconds of exposure under the same conditions.

D. 0.2% N,N-dimethyl diisopropyl aniline (DIDMA) was added to the 0.4% DIEF, 2% DPI mixture. The samples were fully cured in 10 mil thick films after being exposed to the 75 watt dental lamp for 60 seconds at a distance of 1 inch (approx. 400 mw/cm<sup>2</sup>). These samples were slightly softer than those produced in example 2 part A, but the bleaching of the samples was greatly enhanced. This demonstration of bleaching provides a benefit for some markets where minimum color is desired. In addition, bleaching is expected to provide greater depth of cure.

D. A 10 mil thick sample containing 2% DIEF and 2% DPI was completely cured at a line speed of 10 ft/min. using a 300 WPI Fusion "Q" bulb. A 10 mil thick control sample containing 2% DPI only cures to a thickness of 5 mils at 10 ft/min.

EXAMPLE 3

Photocurable compositions were prepared using 75 parts cycloaliphatic diepoxide and 25 parts polyol. Variations in curing were then performed with the results indicated below:

A. A sample containing 0.1% DIEF and 2% DPI, and controls containing either 2% DPI or 2% triaryl sulfonium were irradiated in 1/8" thick plugs using a 75 watt dental lamp at 0 inches (approx. 3 W/cm<sup>2</sup>). The sample with DIEF was fully cured after 60 seconds. However, the 2% triaryl sulfonium was only cured on the surface, and the 2% DPI sample wasn't cured at all. The implications of this would indicate that depth of cure is possible only in the dye w/DPI sample.

B. Additionally, fillers were added to a sample containing .05% DIEF and 2% DPI and tested for depth of cure capabilities. Both a 70% glass bead filled mixture and a 50% BaSO<sub>4</sub> filled mixture were cured to a thickness of 1/8" after a 1 minute exposure in the focus of the Fusion "Q" bulb. Again two controls were tested, one containing 2% triaryl sulfonium and the other containing 2% DPI. In each case the samples only cured on the surface (approx. 15-20 mils thick or 1/50") after 60 seconds with the same loading of fillers.

EXAMPLE 4

Photocurable compositions were prepared using triethylene glycol divinyl ether with the results indicated below.

A. At 1/4 inch from the sample, a 0.2% DIBF, 2.5% OPPI mixture cures in 4 seconds using a 75 W dental lamp with blue filter installed (approx. 260 mw/cm<sup>2</sup>). The reaction is exothermic, resulting in a darkened material which fumes upon curing. Control samples of 2% triaryl sulfonium and 2.5% OPPI without dye are uncured after 60 seconds at the same conditions.

B. At 1 inch from the sample, a 10 mil thick sample of the .2% DIBF, 2.5% OPPI mixture cures in 11-12 seconds, using a 75 watt dental lamp with blue filter installed (approx. 50 mw/cm<sup>2</sup>). The reaction is again exothermic and the polymer darkens."

C. The .2% DIBF, 2.5% OPPI sample was laid down in 10 mil thick films which were then cured using a 300 WPI Fusion "Q" bulb. The samples are fully cured with darkened surfaces at line speeds up to 50 ft/min.

D. 1/4 inch plugs of .2% DIBF, 2.5% OPPI mixture cure with the visible light from an overhead projector (approx. 400 mw/cm<sup>2</sup>), after 23 seconds forming a soft, spongy, dark polymer. The reaction is exothermic. The controls (2.5% OPPI without dye or 2% triaryl sulfonium) do not cure after 2 minutes of exposure.

#### EXAMPLE 5

Photocurable compositions were prepared using 1,4-cyclohexane-dimethanol divinyl ether with the results discussed below:

A. At 1/2 inch from the sample, a .2% DIBF, 2.5% OPPI film 5 mils thick is fully cured after 6 seconds of exposure from a 75 watt unfiltered dental lamp (approx. 1 watt/cm<sup>2</sup>). The surface is tack-free and the polymer clear and colorless. The 2.5% OPI control (without dye) does not cure at all. The 2% triaryl sulfonium starts to cure after 60 seconds, but the polymer formed is no bigger than a small dot."

B. At 1 inch from sample, a .2% DIPF, 2.5% OPPI film 5 mils thick is mostly cured, with a slightly wet surface after a 60 second exposure from the 75 watt dental lamp (approx. 40 mw/cm<sup>2</sup>). Control samples (2.5% OPPI or 2% triaryl sulfonium) do not cure at all under the same conditions.

C. When the blue filter is installed in the dental lamp, neither the .2% DIBF, 2.5% OPPI sample, nor the two control samples cure after 60 seconds of exposure at a distance of 1/4 inch (approx. 200 mw/cm<sup>2</sup>).

D. The .2% DIBF, 2.5% OPPI sample was laid down in 10 mil thick films which were than cured using a 300 WPI Fusion "Q" bulb. The samples are fully cured with darkened surfaces at line speeds up to 50 ft/min.

EXAMPLE 6

Photocurable compositions were prepared using an epoxy functional silicone with the photoinitiator shown in the table and the onium salt OPPI.

<u>Initiator</u>	<u>CONC.</u>	<u>Onium %</u>	<u>Conditions*</u>	<u>Exp. Time</u>	<u>Comments**</u>
DIBF	0.00 wt%	2.5% OPPI	DL 1/2"	60 sec	DNC
DIBF	0.05 wt%	2.5% OPPI	DL 1/2"	30 sec	FC,TF,1/2" diameter
DIBF	0.10 wt%	2.5% OPPI	DL 1/2"	60 sec	FC,TF,17/32" diameter
DIBF	0.15 wt%	2.5% OPPI	DL 1/2"	60 sec	FC,TF,5/8" diameter
DIBF	0.00 wt%	2.5% OPPI	DLBF 1/2"	4 min	DNC
DIBF	0.05 wt%	2.5% OPPI	DLBF 1/2"	4 min	DNC
DIBF	0.10 wt%	2.5% OPPI	DLBF 1/2"	4 min	DNC
DIBF	0.15 wt%	2.5% OPPI	DLBF 1/2"	4 min	DNC
TIHF	0.05 wt%	2.5% OPPI	DLBF 1/2"	4 min	DNC
TIHF	0.05 wt%	2.5% OPPI	DL 1/2"	60 sec	FC,TF,3/8" diameter
TIHF	0.05 wt%	2.5% OPPI	DL 1/2"	2 min	FC,TF,9/16" diameter
DIBF	0.05 wt%	2.5% OPPI	DLPC 1/2"	2 min	FC,TF,9/16" diameter
DIBF	0.10 wt%	2.5% OPPI	DLPC 1/2"	2 min	FC,TF,3/4" diameter
DIBF	0.15 wt%	2.5% OPPI	DLPC 1/2"	2 min	FC,TF,13/16" diameter
DIBF	0.15 wt%	2.5% OPPI	DLPC 1/2"	1 min	FC,TF,3/4" diameter
DIBF	0.15 wt%	1.5% OPPI	DLBF 1/2"	4 min	MC,TF,5/8" diameter
DIBF	0.15 wt%	1.5% OPPI	DLPC 1/2"	1 min	FC,TF,1/2" diameter
DIBF	0.15 wt%	1.5% OPPI	DLPC 1/2"	2 min	FC,TF,3/4" diameter

\* DL = 75 W dental lamp

DLBF = 75 W dental lamp with blue filter

DLPC = 75 W dental lamp with 1/8" thick polycarbonate sheet

1/2" = light source is positioned one half inch away from the sample

\*\* DNC = No cure;

FC = Fully Cured; MC = Mostly Cured; TF = Tack Free;

The diameter listed is that of the film produced by the light exposure. The magnitude of the diameter is an indication of the extent of reaction that the film has

undergone; the larger the diameter, the more reactive the initiator system.

It is interesting to note that the above samples were also tested in thicker sections. It was found that those samples with a concentration of 0.05% DIBF or higher and 2.5% OPPI cured to a depth of 1/4 inch after a 30 second exposure to the dental lamp at 0 inches away. The comparable control without dye does not cure.

Having described the invention in detail and by reference to preferred embodiments thereof, it will be apparent that modifications and variations are possible without departing from the scope of the invention defined in the appended claims.

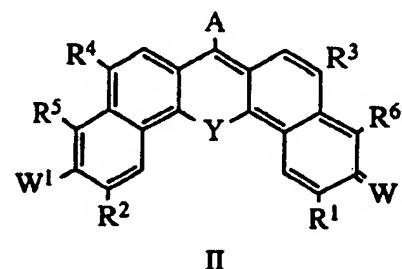
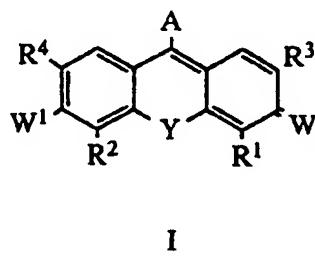
What is claimed is:

1. A photohardenable composition which comprises a cationically polymerizable compound, a xanthene or flurone dye, a hydrogen donor and an onium salt selected from the group consisting of iodonium salts, thiapyrylium salts, diazonium salts and ferrocenium salts.
2. The composition of claim 1, wherein the onium salt is an iodonium salt.
3. The composition of claim 2 wherein the iodonium salt is a diaryliodonium salt.
4. The composition of claim 3 wherein the iodonium salt is selected from the group consisting of diarylicidonium hexafluorophosphates, diaryliodonium arsenates, and diaryliodonium antimonates.
5. The composition of claim 4 wherein the iodonium salt is (4-octyloxyphenyl)phenyliodonium salt.
6. The composition of claim 5 wherein the hydrogen donor is a N,N dialkylaniline.
7. The composition of claim 6 wherein the N,N-dialkylaniline is selected from the group consisting of 2,6-diethyl-N,N-dimethylaniline, N,N,2,4,6-pentamethylaniline (PMA), p-t-butyl-N,N-dimethylaniline and N,N-dimethyl-2,6-diisopropyl aniline.

8. The composition of claim 1 wherein the cationically polymerizable compound is selected from the group consisting of epoxy compounds, allyl monomers, vinyl monomers, a vinyl or allylic prepolymer, a vinyl ether, a vinyl ether functional prepolymer, a cyclic ether, a cyclic ester, a cyclic sulfide, a cyclic organosiloxane, melamine formaldehyde, phenolic formaldehyde, a lactam, and a lactone, a cyclic acetal and an epoxy functional silicone oligomer.

9. The composition of claim 8 further characterized in that said polymerizable compound is a cycloaliphatic ether.

10. The composition of claim 1 wherein the dye is represented by formula (I) or (II):

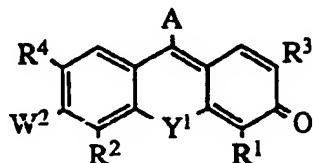


where R<sup>1</sup>, R<sup>2</sup>, R<sup>5</sup> and R<sup>6</sup> are the same or different and represent a hydrogen atom or a halogen atom and R<sup>1</sup> and R<sub>2</sub> may combine to form a ring; R<sup>3</sup> and R<sup>4</sup> are the same or different and represent a hydrogen atom, a halogen atom, a benzoyl group, a group of the formula -L(CH<sub>2</sub>)<sub>n</sub>R<sup>8</sup> where n is 1 to 8, R<sup>8</sup> is hydrogen, hydroxy, amino, dialkylamino, -COR<sup>16</sup> or -COOR<sup>14</sup> where R<sup>16</sup> is hydrogen, chlorine, COCl, C1-C8 alkyl, NR<sub>2</sub> aryl, and R<sup>14</sup> is hydrogen, C1-C8 alkyl, aryl, COR, 2,4-dinitrophenyl, N-imido or NR<sub>2</sub> and L is a direct bond or >C=O; W is =O or =NR<sup>2</sup>, where R is hydrogen or lower alkyl, when W is =O, W<sup>1</sup> is hydrogen or -OR<sup>9</sup> where R<sup>9</sup> is hydrogen, C1-C8 alkyl, acyl or a group of the formula -(CH<sub>2</sub>)<sub>n</sub>R<sup>10</sup> where n is 1 to 8 and R<sup>10</sup> is amino, dialkylamino,

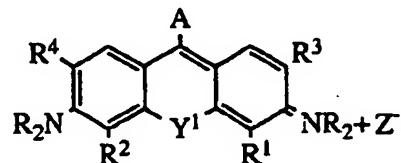
hydroxy, acryloyl or methacryloyl and when W is  $=NR_2$ ,  $W^1$  is hydrogen or  $-NR_2$ ; Y is oxygen, sulfur, selenium, tellurium,  $>C=O$ , or  $N-R^{13}$  where  $R^{13}$  is 4-methylphenyl, A is hydrogen, aryl, alkenyl, alkyl, or an electron withdrawing group (EWG); provided that at least one of  $R^1 - R^6$  is halogen.

11. The composition of claim 10 wherein said xanthene dye is represented by the Formula (I).

12. The composition of claim 11 wherein said dye is represented by the Formula (III) or (IV)



III



IV

where A is selected from the group consisting of hydrogen, aryl, alkyl, alkenyl, alkynyl, dichlorotriazinylamino, and electron withdrawing groups (EWG) which are stronger electron withdrawing groups than a phenyl group or a halogen-substituted or a carboxyl-substituted phenyl group;  $Y^1$  is oxygen, sulfur or  $>NR^{13}$  where  $R^{13}$  is 4-methylphenyl; R, and  $R^1 - R^4$  are defined as in claim 1; Z is a counter ion and  $W^2$  is hydrogen or  $-OR^9$  and  $R^9$  is defined as in claim 1.

13. The composition of claim 12 wherein  $Y^1$  is O.

14. The composition of claim 13 wherein said compound is represented by the Formula (III).

15. The composition of claim 14 wherein at least two of  $R^1 - R^4$  are iodo or bromo.

16. The composition of claim 15 wherein A is hydrogen.
17. The composition of claim 16 wherein A is CN.
18. The composition of claim 17 wherein at least two of R<sup>1</sup>-R<sup>4</sup> are iodo.
19. The composition of claim 18 wherein W<sup>2</sup> is -OR<sup>9</sup> and R<sup>9</sup> is C<sub>1</sub>-C<sub>8</sub> alkyl or longer.
20. The composition of claim 1 wherein said dye is selected from the group consisting of:
  - 5,7-diiodo-3-methoxy-6-fluorone (DIMF),
  - 5,7-diiodo-3-ethoxy-6-fluorone (DIEF),
  - 5,7-diiodo-3-butoxy-6-fluorone (DIBF),
  - 5,7-diiodo-3-octoxy-6-fluorone (DIOF),
  - 4,5-diiodo-3-hydroxy-6-fluorone,
  - 9-cyano- 5,7-diiodo-3-methoxy-6-fluorone (CDIMF),
  - 9-cyano- 5,7-diiodo-3-ethoxy-6-fluorone (CDIEF),
  - 9-cyano- 5,7-diiodo-3-butoxy-6-fluorone (CDIBF),
  - 9-cyano-5,7-diiodo-3-octoxy-6-fluorone (CDIOF),
  - 3-hydroxy-2,4,5,7-tetraiodo-6-fluorone (TIHF),
  - 3-hydroxy-2,4,5,7-tetrabromo-6-fluorone (TBHF),
  - 3-hydroxy-2,4,5,7-tetrachloro-6-fluorone (TCHF),
  - 3-hydroxy-2,4,5,7-tetrafluoro-6-fluorone (TFHF),
  - 9-cyano-3-hydroxy-2,4,5,7-tetraiodo-6-fluorone (TIHCF),
  - 9-cyano-3-hydroxy-2,4,5,7-tetrabromo-6-fluorone (TBHCF),
  - 9-cyano-3-hydroxy-2,4,5,7-tetrachloro-6-fluorone (TCHCF),
  - 9-cyano-3-hydroxy-2,4,5,7-tetrafluoro-6-fluorone (TFHCF),
  - 3-hydroxy-4,5,7-triiodo-2-octanoyl-6-fluorone,
  - 9-cyano-3-hydroxy-4,5,7-triiodo-2-octanoyl-6-fluorone,

3-hydroxy-4,5,7-triiodo-2-octanoyl-6-fluorone,  
9-cyano-3-hydroxy-4,5,7-triiodo-2-octyl-6-fluorone,  
3-hydroxy-2,4,5,7-tetraiodo-6-thiafluorone,  
3-hydroxy-4,5,7-triiodo-2-pentanoyl-6-fluorone,  
9-cyano-3-hydroxy-4,5,7-triiodo-2-pentanoyl-6-  
fluorone,  
3-hydroxy-4,5,7-triiodo-2-pentyl-6-fluorone,  
9-cyano-3-hydroxy-4,5,7-triiodo-2-pentyl-6-fluorone,  
2,7-di-t-butyl-4,5-diiodo-3-hydroxy-6-fluorone,  
9-cyano-2,7-di-t-butyl-4,5-diiodo-3-hydroxy-6-  
fluorone, and  
7-benzoyl-2,4,5-triiodo-3-hydroxy-6-fluorone.

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US94/00726

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(5) :C08F 2/46; C03C 1/54, 1/64, 1/675, 1/73, 1/735  
US CL :430/270, 281; 522/15, 16, 53, 63

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 430/270, 281; 522/15, 16, 53, 63

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

CAS STN Structure Search

APS Text Search; search terms: xanthene, fluorone &amp; onium salt

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US, A, 4,868,092 (KAWABATA ET AL) 19 SEPTEMBER 1989, see columns 1-3.	1-20
X	US, A, 4,828,583 (OXMAN ET AL) 09 MAY 1989, see column 1, lines 60 to column 2, line 10, column 4, lines 39-53, columns 5-6.	1-20
X	US, A, 4,735,632 (OXMAN ET AL) 05 APRIL 1988, see column 1, line 50 to column 2, line 15, column 4, lines 30-45, and columns 5-6.	1-20
X	MACROMOLECULAR CHEMISTRY AND PHYSICS, Volume 92, No. 6, issued June 1991, Jean P. Fouassier et al, "Polymerization induced by visible laser irradiation. 5. The eosin-amine-iodonium salt system," pages 1307-1315, see abstract on page 1307.	1-20

Further documents are listed in the continuation of Box C.  See patent family annex.

• Special categories of cited documents:	
• "A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
• "E" earlier document published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
• "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reasons (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
• "O" document referring to an oral disclosure, use, exhibition or other means	
• "P" document published prior to the international filing date but later than the priority date claimed	"Z" document member of the same patent family

Date of the actual completion of the international search

09 AUGUST 1994

Date of mailing of the international search report

AUG 25 1994

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Authorized officer

PHILIP I. DATLOW

Facsimile No. not applicable

Telephone No. (703) 308-4710

Form PCT/ISA/210 (second sheet)(July 1992)\*

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US94/00726

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	Chemical Abstracts, Volume 112, issued 1990, Kitamura, "Photopolymerizable compositions for printing plates and photoresists," abstract no. 28186v, JP, A, 01 78247 (Toray Industries) 23 MARCH 1989.	1-20
A	US, A, 5,137,800 (NECKERS ET AL) 11 AUGUST 1992, see entire document.	1-20
A	US, A, 4,343,885 (REARDON, JR.) 10 AUGUST 1982, see entire document.	1-20
A	US, A, 3,808,006 (SMITH) 30 APRIL 1974, see entire document.	1-20

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US94/00726

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3.  Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

Please See Extra Sheet.

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.: 1-20 (in part)

## Remark on Protest

The additional search fees were accompanied by the applicant's protest.  
 No protest accompanied the payment of additional search fees.

**INTERNATIONAL SEARCH REPORT**

Int'l application No.

PCT/US94/00726

**BOX II. OBSERVATIONS WHERE UNITY OF INVENTION WAS LACKING**  
This ISA found multiple inventions as follows:

This application contains the following inventions or groups of inventions which are not so linked as to form a single inventive concept under PCT Rule 13.1. In order for all inventions to be examined, the appropriate additional examination fees must be paid.

Group I, claim(s) 1-20 (in part), drawn to compositions wherein the dye compound of formulas I-II have the Y group being oxygen or sulfur, Class 522, Subclasses 53 and 63.

Group II, claim(s) 1-11 (in part), drawn to compositions wherein the dye compound of formulas I-II have the Y group being selenium or tellurium, Class 522, Subclass 49.

Group III, claim(s) 1-11 (in part), drawn to compositions wherein the dye compound of formulas I-II have the Y group being a carbonyl group, Class 522, Subclass 68.

Group IV, claims 1-12 (in part), drawn to compositions wherein the dye compound of formulas I-II have the Y group being nitrogen, Class 522, Subclass 63.

The inventions listed as Groups I-IV do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: The essential dye compounds are structurally dissimilar and divergent, having different core structures. A reference composition which would anticipate one of the groups would not render obvious the other groups.